

10-12-00

Sector \$

Kaxiras 2-2



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application

Applicant(s): S. Kaxiras et al.  
Case: 2-2  
Serial No.: 09/591,918  
Filing Date: June 9, 2000  
Title: Directory-Based Prediction Methods and Apparatus  
for Shared-Memory Multiprocessor Systems  
Group: 2756  
Examiner: To Be Assigned

"Express Mail" Label No. EF17235326543

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit: **October 11, 2000**

Signature: Laura M. Harlin

TRANSMITTAL OF MISSING PARTS

Assistant Commissioner for Patents  
Washington, D.C. 20231  
Box Missing Parts

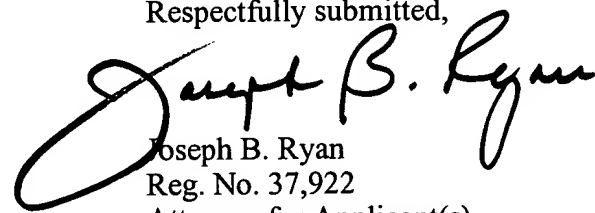
Sir:

In response to the Notice to File Missing Parts dated August 22, 2000, Applicant submits herewith the following documents to complete the above-referenced patent application:

- (1) Declaration and Power of Attorney;
- (2) Assignment with Recordation Form Cover Sheet; and
- (3) Change of Correspondence Address.

A copy of the Notice is enclosed herewith. Please charge **Lucent Technologies Deposit Account No. 12-2325** the amount of \$130, to cover the surcharge under 37 CFR §1.16(e). A duplicate copy of this letter is enclosed. In the event of non-payment or improper payment of a required fee, the Commissioner is authorized to charge or to credit **Deposit Account No. 12-2325** as required to correct the error.

Respectfully submitted,

  
Joseph B. Ryan  
Reg. No. 37,922  
Attorney for Applicant(s)

Date: October 11, 2000  
Ryan, Mason & Lewis, LLP  
90 Forest Avenue  
Locust Valley, New York 11560  
(516) 759-7517

## FORMALITIES LETTER



\*OC00000005342017\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/591,918	06/09/2000	Stefanos Kaxiras	2-2

Joseph B Ryan  
Ryan & Mason LLP  
90 Forest Avenue  
Locust Valley, NY 11560

Date Mailed: 08/22/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

---

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/16/2000 ETULU1 00000095 122325 09591918

OK  
~~01 FC:105~~ 130.00 CH  
~~02 FC:501~~ 40.00 CH